INTERACTION OF NONCONJUGATED DOUBLE BONDS IN 1,4,5,8-TETRAHYDRONAPHTHALENE (1)

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In a previous note (2) of this series (1) we have shown that the interaction of the two non-conjugated π -bonds, π_1 and π_2 , in norbornadiene (I) and bicyclooctadiene (II), gives rise to a split $\Delta = \varepsilon(\pi_-) - \varepsilon(\pi_+)$ between the linear combinations $\pi_- = (\pi_1 - \pi_2)/\sqrt{2}$ and $\pi_+ = (\pi_1 + \pi_2)/\sqrt{2}$. This splitting amounts to 0.85 eV in I and 0.6 eV in II. In such systems through-space interaction (homoconjugation) dominates (3)' and Δ should thus be roughly proportional to the overlap integral $S = \langle \pi_1 | \pi_2 \rangle$

$$\pi_1 \longrightarrow \pi_2$$
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Therefore it may seem surprising that the split \triangle between the two π -ionization bands observed in the photoelectron-spectrum (PE-spectrum) of 1,4-cyclohexadiene (III) is larger than for I or II even though S is smaller: I, S = 0.11, \triangle = 0,8₅ eV; II, S = 0.10 \triangle = 0.6 eV; III, S = 0.06, \triangle = 1.0 eV. (These S-values are based on Slater-orbitals). As shown (3), this result can be rationalized by taking hyperconjugation into account.

In a formal way the interaction between π_1 and π_2 in III can be described by two parameters (c.f. figure 1): A) a stabilization δA of the individual π -orbitalenergies $A'=A_a+\delta A=<\pi_1|H|\pi_1>=$ $<\pi_2|H|\pi_2>=-I_a$, relative to the energy $A_a=<\pi_a|H|\pi_a>$ of a π -orbital in the parent compound cyclohexene (IV) $\delta A=A'-A_a=\frac{\epsilon_1+\epsilon_2}{2}-A_a=I_a-\frac{I_1+I_2}{2}$

This stabilization is due to the increased 2s-character of the σ -frame in III.



Figure 1: Orbital diagram.

B) an interaction term $B = \langle \pi_1 | H | \pi_2 \rangle$ which yields a split $\Delta = 2B$ between the orbitals π_+ and π_- . If B stands for an hyperconjugative interaction, which demands that π_+ lies above $\pi_-(3)$, then B must be defined as a positive quantity:

$$B = \frac{\Delta}{2} = \frac{\varepsilon_1 - \varepsilon_2}{2} = \frac{I_2 - I_1}{2}$$

Depending on whether one uses the adiabatic or the vertical ionization potentials, one obtains:

Adiabatic:
$$\delta A = 8.9 - \frac{8.8 + 9.7}{2} = -0.35 \text{ eV}$$

 $B = \frac{9.7 - 8.8}{2} = 0.45 \text{ eV}$
Vertical: $\delta A = 9,12 - \frac{8.80 + 9.80}{2} = -0.18 \text{ eV}$
 $B = \frac{9.80 - 8.80}{2} = 0.50 \text{ eV}$

(Note that the precision with which the adiabatic ionization-potentials can be read off the spectra is less than for the vertical ones).

We wish to show that the analysis of the PE-spectrum of 1,4,5,8-tetrahydronaphthalene (VI) yields parameters which are in excellent agreement with those obtained from the PE-spectrum of III.



The three lowest ionization-potentials of VI, which are ascribed to π -ionization, are (c.f. figure 2):



Figure 2: Photoelectron spectra of tetrahydronaphthalene (VI) and of octahydronaphthalene (V). (The spectra were recorded on a modified Perkin-Elmer photoelectron spectrometer of the type described by Turner (4): $\pi/\sqrt{2}$ - electrostatic energy analyser; excitation by photons from the 584 Å He resonance line (21.21 eV).

We assume a model for which we postulate that the individual π -orbital energies are $\mathbf{A}_{a}^{*} = \langle \pi_{1} | \mathcal{H} | \pi_{1} \rangle = \langle \pi_{3} | \mathcal{H} | \pi_{3} \rangle$, $\mathbf{A}_{b}^{*} = \langle \pi_{2} | \mathcal{H} | \pi_{2} \rangle$ and that there shall be an interaction $\mathbf{B} = \langle \pi_{1} | \mathcal{H} | \pi_{2} \rangle = \langle \pi_{2} | \mathcal{H} | \pi_{3} \rangle$ only between π -bonds in the same ring i.e. $\langle \pi_{1} | \mathcal{H} | \pi_{3} \rangle = 0$. Thus the orbital energies $\varepsilon_{1} = -\mathbf{I}_{1}$, $\varepsilon_{2} = -\mathbf{I}_{2}$, $\varepsilon_{3} = -\mathbf{I}_{3}$ are obtained by solving the secular determinant.

$$\begin{vmatrix} A'_{a} - \varepsilon & B \\ B & A'_{b} - \varepsilon & B \\ B & A'_{a} - \varepsilon \end{vmatrix} = 0$$

A little algebra will show that

 $A_a' = -I_2; A_b' = I_2 - I_1 - I_3; B = \sqrt{(I_1 - I_2)(I_2 - I_3)/2}$

This yields:

	A'	A'b	В
Adiabatic:	-9.0	-8.7	0.45
Vertical:	-9,05	-8.83	0.453

As $A_b^r = A_b^r + 2\delta A$, where A_b^r is the π -orbital energy observed for the monoene V (c.f. figure 2) and where the perturbation $2\delta A$ is that due to the two π -bonds π_{a1}^r and π_{a2}^r in VI, we find that $\delta A = (8.1-8.7)/2 = -0.3$ eV (adiabatic) or $\delta A = (8.27-8.83)/2 = -0.28$ eV(vertical). Hence, both B and δA are identical (inside the limits of error of the experiment) with the values obtained from the PE-spectra of III. If a correction of $\delta A = -0.3$ eV (adiabatic) or $\delta A = -0.28$ eV vertical is applied to A_a^r , a value of I = 8.7 (adiabatic) or I = 8.77 (vertical) is predicted for the π -ionization of the monoene VII. These values are slightly smaller than those observed for III, as one would expect, because of the increased inductive effect of the larger σ -frame of VII on the π -bond.

This work is part of project Nr. 4651 of the Schweizerische Nationalfonds. We wish to thank Prof. E. Vogel (University of Köln) for the gift of a sample of tetrahydronaphthalene. We gratefully acknowledge financial support provided by J.R. Geigy SA, Basel.

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